

IFW

PATENT

Case Docket No. NOVTR.002A

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Clark, et al.  
Appl. No. : 10/724,017  
Filed : November 26, 2003  
For : UV FLUX MULTIPLICATION  
SYSTEM FOR STERILIZING  
AIR, MEDICAL DEVICES  
AND OTHER MATERIALS  
Examiner : Unknown  
Group Art Unit : 1744

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

9/29/04  
(Date)

Russell M. Jeide, Reg. No. 54,198


## TRANSMITTAL LETTER

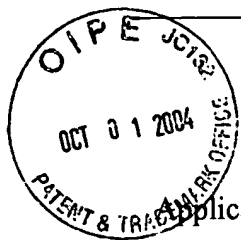
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- ( X ) An Information Disclosure Statement.
- ( X ) A PTO Form 1449 with fourteen (14) references listed, seven (7) references enclosed.
- ( X ) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- ( X ) Return prepaid postcard.

  
Russell M. Jeide  
Registration No. 54,198  
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**INFORMATION DISCLOSURE STATEMENT**

Applicant : Clark, et al.  
App. No. : 10/724,017  
Filed : November 26, 2003  
For : UV FLUX MULTIPLICATION SYSTEM FOR STERILIZING AIR,  
MEDICAL DEVICES AND OTHER MATERIALS  
Examiner : Unknown  
Group Art Unit : 1744

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 14 references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of other references, if listed, are enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: \_\_\_\_\_

9/27/07

By: \_\_\_\_\_

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
NOVTR.002AAPPLICATION NO.  
10/724,017INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Clark, et al.FILING DATE  
November 26, 2003GROUP  
1744

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	4,035,085	07/12/77	Seiner			
	2	5,112,370	05/12/92	Gazzano			
	3	5,462,705	10/31/95	Springsteen			
	4	5,596,450	01/21/97	Hannon, et al.			
	5	5,612,001	03/18/97	Matschke			
	6	6,022,511	02/08/00	Matschke			
	7	6,228,327	05/08/01	Matschke			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

EXAMINER  
INITIAL

## OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

-	8	Beverly, III, R.E., <i>Characterization of a dual-segment cylindrical surface discharge</i> , J. Appl. Phys. 69(7), April 1991
-	9	Beverly, III, R.E., <i>Electrical Gasdynamic, and Radiative Properties of Planar Surface Discharges</i> , J. Appl. Phys. 60(1), July 1986
/	10	Pasikatan, et al., <i>Review: Near Infrared Reflectance Spectroscopy for Online Particle Size Analysis of Powders and Ground Materials</i> , J. Near Infrared Spectrosc. 9:153-164 (2001)
/	11	VanOsdell, et al., <i>Defining the Effectiveness of UV Lamps Installed in Circulating Air Ductwork</i> , RTI International, November 2002
?	12	Wick, et al., <i>Pulsed Light Device (PLD) for Deactivation of Biological Aerosols</i> , Edgewood Report ERDC-TR-456, December 1998
.	13	Allegra, et al., <i>A Novel Device for the Prevention of Airborne Infections</i> , J. Clinical Microbiology Letters to the Editor, 35(7):1918-1919, July 1997
.	14	Jensen, M., <i>Inactivation of Airborne Viruses by Ultraviolet Irradiation</i> , Applied Microbiology, 12(5):418-420, Sept. 1964

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EXAMINER

DATE CONSIDERED

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.